PDMP TTAC

Prescription Drug Monitoring Program Training and Technical Assistance Center

California

PDMP acronym:	CURES	Region	West
Agency Responsible:	California Department of Justice		
Agency Type:	Law Enforcement Agency		

PDMP Web Resources/Links

PDMP Website:	https://oag.ca.gov/cures
PDMP Email:	cures@doj.ca.gov
PDMP Register Website:	http://oag.ca.gov/cures
User Account Manual:	https://oag.ca.gov/system/files/media/cures-optimization-user-guide.pdf
PDMP Query Website:	https://cures.doj.ca.gov
PDMP Data Upload Website:	https://pmpclearinghouse.zendesk.com/hc/en-us/sections/4413199216915-CA-CURES-Submitters
Data Upload Manual:	https://pmpclearinghouse.zendesk.com/hc/en-us/article_attachments/31846119634451
Statute/Regulation Website:	https://oag.ca.gov/cures/regulations
Opioid Guidelines Website:	https://mbc.ca.gov/Resources/Medical-Resources/controlled-substance.aspx
PDMP FAQs:	https://oag.ca.gov/cures/faqs
Integration Guidance:	https://oag.ca.gov/cures/iews
PDMP Statistics Website:	https://oag.ca.gov/cures/statistics
Opioid Dashboard Website:	https://www.cdph.ca.gov/Programs/CCDPHP/sapb/Pages/Opioids.aspx
Training Website:	https://oag.ca.gov/cures/publications

PDMP Contact Information

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State Population and Number of Registrants

State population: 38,889,770   DEA Prescriber total: 217,428   DEA Dispenser total: 6,283

Data Transmitter(s)

Dispensing Practitioner
Pharmacy (In-State)
Pharmacy (Mail Order Out of State)

Dispensing Veterinarians
Pharmacy (Mail Order In-State)
Veterans Administration

Substances Monitored

Schedules II - V

Alternate Data Sources

Available Reports

Annual PDMP Reports
Customized Reports by User Type
Dispenser Reports to Law Enforcement
Lost/Stolen Prescription Reports
Patient Query Lists to Law Enforcement
Patient Query Lists to Patients
Patient Reports to Law Enforcement
Patient Reports to Prescribers
Prescription Drug Combinations
Statewide Statistics

Clinical Alerts
Data Dashboards
Licensee Reports to Licensing Board
MME Calculations
Patient Query Lists to Licensing Boards
Patient Reports to Dispensers
Patient Reports to Licensing Boards
Prescriber Reports to Law Enforcement
Registrant Query Lists to Licensing Boards

Statistical Capabilities

- ☑ # of Prescription Filled
- ☑ # of Prescriptions Filled by CS Schedule
- ☑ # of Prescriptions Filled by Drug Class
- ☑ Statistics Filtered by Age or Age Range
- ☐ Statistics Filtered by Ethnicity or Race

- ☑ # of Authorized PDMP Users Enrolled
- ☑ # of Registrants in SDTC
- ☑ # of Registrants in SDTC by Practice/License Typ

- ☑ # of Data Errors by Error Type
- ☑ # of Data Errors Corrected
- ☑ # of At-Risk Patients by Risk Factor
- ☑ Risk Factor Statistics by Time Frame

- ☑ # of Solicited Prescriber Reports
- ☑ # of Solicited Prescriber Reports by Requestor Type
- ☐ # of Unsolicited Prescriber Reports
- ☐ # of Unsolicited Prescriber Reports by Recipient Type
- ☑ # of Solicited Dispenser Reports
- ☑ # of Solicited Dispenser Reports by Requestor Type
- ☐ # of Unsolicited Dispenser Reports
- ☐ # of Unsolicited Dispenser Reports by Recipient Type
- ☑ # of Solicited Patient Reports
- ☑ # of Solicited Patient Reports by Requestor Type
- ☑ # of Unsolicited Patient Reports
- ☑ # of Unsolicited Patient Reports by Recipient Type

- ☑ # of Dosage Units Dispensed
- ☑ # of Dosage Units Dispensed by CS Schedule
- ☑ # of Dosage Units Dispensed by Drug Class
- ☑ Statistics Filtered by Gender Identification
- ☑ Statistics Filtered by Geographic Location

- ☑ # of Prescribers Enrolled in PDMP by License Type
- ☑ # of Dispensers Enrolled in PDMP by License Type
- ☑ # of Prescribers Enrolled in PDMP by Specialty
- ☑ # of Unique Prescribers

- ☑ # of In-State Queries
- ☑ # of In-State Queries by Requestor Type
- ☑ # of Interstate Queries
- ☑ # of Interstate Queries by Requestor Type
- ☑ # of Positive Matches from Interstate Queries

- ☐ # of Solicited Statistical Reports by Requestor Typ
- ☐ # of Unsolicited Statistical Reports by Recipient Type
- ☑ # of Unique Requestors for Solicited Reports
- ☑ # of Unique Requestors by Requestor Type (sol. rep
- ☐ # of Unique Requestors for Unsolicited Rep
- ☐ # of Unique Recipients by Recipient Type (unsol. rep

% Out-of-State Patien   <5%
% Out-of-State Prescribe <5%

Data Analysis Resources Available:

Authorized PDMP Users

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Dispensers - Pharmacists/Unsolicited In-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - State/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Nurse Practitioners/Unsolicited In-State

Nurse Practitioners/Unsolicited Out-of-State

Physician Assistants/Solicited In-State

Physician Assistants/Solicited Out-of-State

Physician Assistants/Unsolicited In-State

Physician Assistants/Unsolicited Out-of-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prescribers/Unsolicited In-State

Prescribers/Unsolicited Out-of-State

Regulatory and Licensing Boards/Solicited In-State

Telemedicine Prescribers/Solicited in-State

Engaged PDMP Users

Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Law Enforcement - Federal/Solicited In-State
Law Enforcement - Federal/Solicited Out-of-State
Law Enforcement - Local/Solicited In-State
Law Enforcement - State/Solicited In-State
Medical Examiners and Coroners/Solicited In-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Physician Assistants/Unsolicited Out-of-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Prescribers/Unsolicited Out-of-State
Regulatory and Licensing Boards/Solicited In-State
Telemedicine Prescribers/Solicited in-State

Budget

Total Annual Budget: **PDMP Staff:**   23

# of Employees - Operational   12   # of Employees - Other: 0

# of Employees - Technical: 5

# of Employees - Analytical: 6

Funding Source(s)

Licensing Fee

Technologies

Data Collection Entity:	Vendor	☐	State HIE in place
Data Collection Vendor name	Bamboo Health	☐	RxCheck Integration Allowed
Data Storage Entity:	In-House	☐	VHA VISTA integration
Data Storage Vendor name	In-House	☐	HL7 FHIR connectivity
Report Generation Entity:	In-House	☐	Delegate access via integration
Report Generation Vendor name	In-House	☐	SMART on FHIR apps
Data Access Method:	Web Application & Web Servi	ASAP Version Utilized:	4.1
Data Access Entity:	In-House		
Data Access Vendor name	In-House		

Patient Matching

☐ Referential Matching   ☐ Exact Matching

☑ Deterministic Matching   ☐ Probablistic Matching

☐ Manual Matching   ☐ Probablistic and Manual Matching

☐ Other Matching

☑ Access to patient matching algorithms

Patient Matching Metrics Available:

Patient matching data elements: two entities are deemed to be the same entity if at least one of the following two criteria are met:• Same name, same date of birth, and same ZIP code• Same name, same street address, and same city

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Integration(s) Available

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
EHR Integration	10-24%	n/a				

☑ Data Downloaded/Stored   ☑ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data   ☐ Multistate Integrations Allowed

Integration Standards   2017071 NCPDP script standard

Alternate data available:

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
HIE Integration	25-49%	n/a				

☐ Data Downloaded/Stored   ☐ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data   ☐ Multistate Integrations Allowed

Integration Standards   2017071 NCPDP script standard

Alternate data available:

Interstate Data Sharing Partner(s)

Oregon	RxCheck Hub

PDMP Policies

Enabling legislation enacted:	1939	Data collection frequency:	Daily or next busin
PDMP operational:	1939	☐ Requirement for zero-reporting	
User access date (any method):		Frequency of zero-reporting:	
User electronic access date:	2009		
Date received electronic data:	2007		
Interstate sharing start date:	2023		

Law/Statute citation: CA HSC §11165

Regulation/Rule citation: CA Code of Regulations, title 11, division 1, chapter 8.5, sections 820-828

Dr Shopper law/statute:

Pill Mill law/statute:

Pain Clinic law/statute:

Unauthorized Use or Disclosure: CA Code of Regulations, title 11, division 1, chapter 8.5, sections 820-828 and CA HSC §11165.1

Enacted 42 CFR Part 2:

42 CFR Part 2 Details:

☐ Required Notification to consumers	☑ Data Retention Policy
☐ Mandated Use of Advisory Group	Data Retention Time: 7 years
☑ Payment method captured	☐ All Information Purged
☑ Ability to id prescriber specialty	☐ Patient Information Purged
☐ Patient consent required before PDMP data release	☐ Prescriber Information Purged
☐ Ability for users to set thresholds for alert notices	☐ Dispenser Information Purged
☐ Ability for users to do user-led alert notices	☐ Drug Information Purged
☑ Mandatory E-Prescribing (EPCS)	☐ HIPAA Covered Entity
☑ Compliance Process for Enrollment Mandates	☐ Identifiable Data to State Health Dept
☑ Compliance Process for Query Mandate	☐ Identifiable Data to local Health Dept
☐ ICD-10 Codes Collected	☐ Certified as CMS Specialized Registry
☐ Deceased Patient Field	☐ Qualified PDMP with CMS
☑ Engaged in Academic Detailing	☑ PDMP Disaster Recovery Plan
☐ Patient ID Required to be Presented to Dispense	ID Types Reported:

☑ Ability to de-id data

☑ Authority to release de-id data

De-identified data sharing conditions: California Code of Regulations, title 11, § 828.6

De-Identified Data Retention Details: De-identified data is retained indefinitely.

☑ Retain De-Identified Data

Record Retention Detai

☑ Patient Notification of Breach	☑ Written Notification of Breach

Patient Breach Notification Method: Other

Patient Breach Notification Method Oth If there is a data breach, the breach is to be reported to the California Department of Justice State Program Contract Manager and the Information Security Office immediately by telephone and email upon the discovery of a security incident and/or breach.

E-prescribing required substan

E-prescribing exemptions/waive

Authority to enforce PDMP mandat Appropriate Regulatory/Licensing Boards

Enrollment and Accounts

☑ Superviser Review/audit of Delegate Account Number of Delegates allowed: 50

☐ Auto Enroll with License Renewal or App

Enrollment Method:

For California licensed providers, CURES provides a strictly paperless, electronic registration for applicants. New registrants must initiate an online registration, and complete an electronic form which includes providing their identification, licensure,

Practitioner IDs for PDMP Account:

DEA Registration Certificate and State License Number.

2 Factor Authentication:

2 factor authentication not offered

Criteria for Dispensers to get account to upload data:

State licensure; https://pmpclearinghouse.zendesk.com/hc/en-us/articles/4413751075731-Data-Submission-Guide-for-Dispensers

Criteria for Prescriber delegates to get account:

Must qualify as a Delegate pursuant to the requirements of California Code of Regulations (CCR) title 11, Division 1, Chapter 8.5, § 824.1 (a). Must enter into a Delegate Agreement with the Authorizing User pursuant to the requirements of CCR § 824.2 (a).

Criteria for Dispenser delegates to get account:

Must qualify as a Delegate pursuant to the requirements of California Code of Regulations (CCR) title 11, Division 1, Chapter 8.5, § 824.1 (a). Must enter into a Delegate Agreement with the Authorizing User pursuant to the requirements of CCR § 824.2 (a).

Criteria for PAs to get account:

PA License, valid DEA registration certificate.

Criteria for Nurse Practitioners to get account:

NP License, valid DEA registration certificate.

Criteria for other users to get account:

State professional licensure (as a Dentist, Naturopathic Doctor, Optometrist, Osteopathic Doctor, Podiatrist, or Veterinarian) and DEA Registration Certificate.

Requirements for Patients to get PDMP Report:

Either a completed and notarized "CURES 101 Information Practices Act Individual Request Form" or a completed and notarized "CURES 201 Information Practices Act Representative Request Form.

Requirements for others to get PDMP Report:

Criteria for Online Non-healthcare accounts:

Licensing/Regulatory Board Investigators - A Regulatory Agency officer or employee can access CURES, or request data from CURES, on behalf of that Regulatory Agency.Medical Examiners/Coroners - A Law Enforcement Agency officer or employee can access CUR

Data for Epidemiological Purposes: Authority to Release

☑ Law Enforcement On-line access to PDMP   ☑ Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:

Law Enforcement Access Requirements: Active Investigation

☑ PDMP users validated with DEA Registration Fil   ☑ PDMP Access without DEA Number

☐ PDMP users validated with NPI File

☑ PDMP users validated with State Licensing Board

Validation Process for users without DEA #:   The authenticity of each Non-DEA Practitioner is validated against the applicable licensing board at the time of registration. Each Non-DEA Practitioner is asked to provide the following information: a) Personal Information i) First Name and Last Name ii)

Enrollment Mandates

Dispenser - Mandatory PDMP Enrollment
Prescriber - Mandatory PDMP Enrollment

Statute/Regulation Citation:

Bus. & Prof. § 209
Health & Safety § 11165.1
CA 11 ADC 820 – 828

Use Mandates

Prescriber - Mandatory PDMP Use

Statute/Regulation Citation:

§ 11165.4

Training on Enrollment and Use

Prescriber - Training Provided   Webinar; User Guides

Licensing Board - Training Provided   Webinar; User Guides

Law Enforcement - Training Provided   Webinar; User Guides

Dispenser - Training Provided   Webinar; User Guides

PDMP Queries

☐ Ability to search for multiple patients in one query Maximum number of patients in one query 1

Patient Query Date Ran   24 months from date of search   Other Query Date Range:

Minimum data elements to query for healthcare user: Partial First or Last name and DOB

Query by partial data elements by healthcare user: 1 character

Optional data elements to query by healthcare user: Gender, Address, City, State, and Zip Code.

Minimum data elements to query for non-healthcare user: For Regulatory Agency Officials, First and Last Name, and Search Period are required.For Law Enforcement Officials, First and Last Name, DOB, and Search Period are required.

Query by partial data elements by non-healthcare user: 1 character

Optional data elements to query by non-healthcare user: For Regulatory Agency Officials, the following fields are optional: DOB, Address, City, State, Zip, Gender and Animal Name. For Law Enforcement Officials, the following fields are optional: Address, City, State, Zip Code, Gender, and Animal Name

Unsolicited Reports/Push Notifications

Frequency unsolicited alerts/reports generated: Daily

Delivery method for unsolicited alerts/reports: Patient Safety Alerts are generated daily and displayed on the prescriber's dashboard.

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

☐ Unsolicited alerts/reports to practitioners not enrolled in PDMP

Veterinary Policies

Data transmission frequency for Veterinarians: weekly
Criteria for veterinarian queries: Animal's Name

☐ Veterinarian access to owner's prescription history   ☐ Veterinary Icon on PDMP Report
☐ Reporting Specifications

Reporting Method: Electronic
Reporting Specifications:
Data Fields: PAT07 - Owner's Last Name, PAT08 - Owner's First Name, PAT12 - Address, PAT14 - City, PAT15 - State, PAT16 - Zip Code, PAT18 - Owner's Date of Birth, PAT19 - Gender Code, PAT20 - Species Code, PAT23 - Name of Animal

Reporting Description: Veterinarians are required to report controlled substance dispensations.

☑ Reporting Mandate Compliance
Reporting Compliance Details: The CA PDMP (CURES) makes data and reports available for the Veterinary Medical Board to ensure veterinarian compliance with the reporting mandate.

Reporting Mandate Actions:

Reporting Issues: Inconsistencies in data reported by veterinarians.

Reporting Misc Information:

☑ Enrollment Mandate Compliance
Enrollment Description: If a veterinarian receives a DEA registration certificate, registration for access to the CURES database is required.

Enrollment Mandate Compliance Details: Enrollment compliance is enforced by the Department of Consumer Affairs and their various licensing boards and committees.

Enrollment Mandate Criteria:

☐ Query Mandate Compliance
Query Description:
Query Mandate Compliance Details:
Query Mandate Criteria:

Substance Use Disorder Activities

Tools or Resources

☐ MAT Services   Other:
☐ MOUD Services
☐ Harm Reduction Strategies
☐ Mental Health Assistance Services
☐ Employee Assistance Programs
☐ Housing Assistance Programs
☐ Re-Entry Programs

Surveillance Activities

☐ Emergency Department Data   ☐ Medical Examiner/Coroner Data
Data Sources for Surveillance Activities:

Initiated Actions

☐ Resources to Affected Areas   Other Actions:
☐ Directed Training on Prescribing
☐ Prescription Drug Tool Kits
☐ Risk Evaluation/Analysis on PDMP Reports
☐ Referrals to SUD Organizations
☐ Referrals to OFR Teams
☑ Prevent disruption of patient services